

Attorney's Docket No.: 12361-028001

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Number of pages including this page 4

Applicant : Steve Yao
Serial No. : 10/800,406
Filed : March 12, 2004

Art Unit : 2633 ✓

Title : Monitoring Mechanisms for Optical Systems

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is an Information Disclosure Statement (2 pages) and a 1449 (1 page), faxed this 9th day of July 2004 to the United States Patent and Trademark Office.

Respectfully submitted,

Bing Ai
Reg. No. 43,312

Date: July 9, 2004

Fish & Richardson P.C.
PTO Customer No. 20985
12390 El Camino Real
San Diego, California 92130
Telephone: (858) 678-5070
Fax: (858) 678-5099

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PAGE 1/4 * RCVD AT 7/9/2004 6:53:19 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/3 * DNIS:8729306 * CSID:1 858 678 5099 * DURATION (mm:ss):01:38

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Title : MONITORING MECHANISMS FOR OPTICAL SYSTEMS

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Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information Disclosure Statement listed on form PTO-1449.

This filing is being made before the receipt of a first Office action on the merits. No fee is required.

Consideration of the foregoing and enclosure plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

July 9, 2004

Date of Transaction

Signature

S. Gebhart

Page 2 of 2

Attorney's Docket No.: 12361-028001

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Respectfully submitted,



Bing Ai
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Fish & Richardson P.C.
USPTO Customer No. 20985
12390 El Camino Real
San Diego, CA 92130
Telephone: (858) 678-5070
Facsimile: (858) 678-5099

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Sheet 1 of 1

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12361-028001	Application No. 10/800,406
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Steve Yao		
		Filing Date March 12, 2004	Group Art Unit 2633	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6493474	12/10/2002	Yao			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AL						
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)